

Agenda item: 8.2

Subject:	Governing Body Assurance Framework
Presented by:	Amanda Brown, Acting Head of Corporate Affairs
Submitted to:	Governing Body
Date:	24 March 2015

Purpose of paper:

To discuss and approve the Governing body Assurance Framework (GBAF).

Executive Summary:

The Governing Body Assurance Framework is attached. This document is presented to each Governing Body meeting; its purpose is to set out the high risk areas that could potentially impact on the Clinical Commissioning Group (CCG) and its ability to meet key objectives.

Any actions taken to mitigate risks, outstanding actions and proposals to address identified risks are included in order to bring the risk to a level that is acceptable and manageable.

Since the last Governing body meeting on 13 January 2015 the following changes have been made to the GBAF:

CO22 – a new risk has been **added** to the GBAF concerning cancer performance at the NNUH with a rating of 5x4 =20.

Fin 22 – a new risk has been **added** regarding the QIPP challenge in 2015 with a rating of 5x5=25.

The Governing Body is further advised that Internal Auditors have assessed the extent to which the CCG department risk management process aligned with corporate systems and priorities. The CCG achieved the highest level of assurance with a Substantial Assurance rating. The review did however, make three recommendations which have been accepted and either completed or in the process of being implemented. The recommendation that concerns the GBAF is to make more explicit the reputational element of the risks within the register. This recommendation is being addressed and will be implemented by the deadline of 30 June 2015.

Recommendation to Governing Body:

The Governing body is asked to note and comment on the contents of the Governing Body Assurance Framework and the actions taken to mitigate risks.
--

Key Risks	
Clinical:	Quality and safety risks included on GBAF
Finance and Performance:	Finance and Performance Risks included on GBAF
Impact Assessment (environmental and equalities):	None
Reputation:	Failure to address risks could have an adverse impact on CCG reputation.
Legal:	Failure to identify and address risks could have a significant legal impact on the CCG
Resource Required:	N/A
Reference document(s):	Internal Audit Report, <i>Governance, Risk Management and Assurance Framework</i>

GOVERNANCE

Process/Committee approval with date(s) (as appropriate)	Senior Managers meeting and Audit Committee for review and Governing Body for approval.
---	---